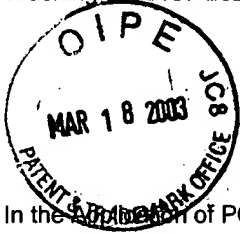


Attachment to Paper #12



FORM PTO-1449 (Modified)
LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT
(Use several sheets, if necessary)
Sheet 1 of 16

In the name of POLO et al.

Serial No.: 09/872,086

Art Unit: 1648

Filed: May 31, 2001

Examiner: S. Brown

Title: METHOD FOR THE PURIFICATION OF REPLICON PARTICLES

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U.S. PATENT DOCUMENTS

Exam. Init.	Ref. Desig.	Document No.	Date	Name	Class	Sub Class	Filing Date
SB	1	5,026,686	June 25, 1991	Schlesinger et al.	_____	_____	
	2	5,091,309	February 25, 1992	Schlesinger et al.	_____	_____	
	3	5,185,440	February 9, 1993	Davis et al.	_____	_____	
	4	5,217,879	June 8, 1993	Huang et al.	_____	_____	
	5	5,505,947	April 9, 1996	Johnston et al.	_____	_____	
	6	5,532,154	July 2, 1996	Brown	_____	_____	
	7	5,591,579	January 7, 1997	Olivo et al.	_____	_____	
	8	5,614,404	March 25, 1997	Mazzara et al.	_____	_____	
	9	5,643,576	July 1, 1997	Johnston et al.	_____	_____	
	10	5,691,177	November 25, 1997	Guber et al.	_____	_____	
	11	5,739,026	April 14, 1998	Garoff et al.	_____	_____	
	12	5,766,602	June 16, 1998	Xiong et al.	_____	_____	
	13	5,789,245	August 4, 1998	Dubensky, Jr. et al.	_____	_____	
	14	5,792,462	August 11, 1998	Johnston et al.	_____	_____	
	15	5,797,870	August 25, 1998	March et al.	_____	_____	
	16	5,811,407	September 22, 1998	Johnston et al.	_____	_____	
✓	17	5,814,482	September 29, 1998	Dubensky, Jr. et al.	_____	_____	

Examiner:

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Sheet 2 of 16

In the Application of POLO et al.

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823	18	5,837,503	November 17, 1998	Doglio, et al.			
	19	5,843,712	December 1, 1998	Levine			
	20	5,843,723	December 1, 1998	Dubensky, Jr. et al.			
	21	5,851,757	December 22, 1998	Olivo et al.			
✓	22	6,015,686	January 18, 2000	Dubensky, Jr. et al.			

FOREIGN PATENT DOCUMENTS

Exam. Init.	Ref. Desig.	Document No.	Publication Date	Country or Patent Office	Class	Sub Class	Translation YES NO
823	23	WO 88/00472	January 28, 1988	PCT			
	24	WO 89/10973	November 16, 1989				
	25	WO 91/02805	March 7, 1991				
	26	WO 92/06693	April 30, 1992				
	27	WO 92/10578	June 25, 1992	PCT			
	28	WO 93/25670	December 23, 1993	PCT			
	29	WO 94/17813	August 18, 1994	PCT			
	30	WO 94/26912	November 24, 1994 <i>abstract only</i>				✓
	31	WO 95/07994	March 23, 1995	PCT			
	32	WO 95/17525	June 29, 1995	PCT			
✓	33	WO 95/19990	July 27, 1995				

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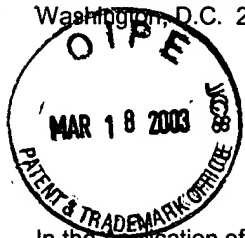
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	35	WO 95/27044	October 12, 1995	PCT				
	36	WO 95/27069	October 12, 1995	PCT				
	37	WO 95/31565	November 23, 1995	PCT				
	38	WO 95/32733	December 7, 1995	PCT				
	39	WO 96/17072	June 6, 1996					
	40	WO 96/37616	November 28, 1996					
	41	WO 96/39830	December 19, 1996					
	42	WO 97/08298	March 6, 1997	PCT				
	43	WO 97/16169	May 9, 1997					
	44	WO 97/24447	July 10, 1997					
	45	WO 97/30155	August 21, 1997					
	46	WO 97/38087	October 16, 1997					
	47	WO 97/44446	November 27, 1997					
	48	WO 98/13511	April 2, 1998					
	49	WO 98/15636	April 16, 1998					
	50	WO 98/26084	June 18, 1998					
	51	WO 98/36779	August 27, 1998	PCT				
	52	WO 98/53077	May 20, 1998					
✓	53	WO 99/09192	February 25, 1999					

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				Country	Class	Subclass		
<u>54</u>	54	WO 99/11808	March 11, 1999					
	55	WO 99/15641	April 1, 1999					
	56	WO 99/18226	April 15, 1999					
	57	WO 99/25858	May 27, 1999					
	58	WO 99/25859	May 27, 1999					
	59	WO 99/30734	June 24, 1999					
	60	EP 0 198 386A2	October 22, 1986	EPO				
<u>61</u>	61	EP 0 647 716A1	April 12, 1995	EPO				✓

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

Exam. Init.	Ref. Desig.	Description
<u>62</u>	62	Acsadi et al., "Human Dystrophin Expression in mdx Mice After Intramuscular Injection DNA Constructs," <i>Nature</i> <u>352</u> :815-818 (August 1991)
	63	Altman et al., "Contransfection of ICAM-1 and HLA-DR Reconstitutes Human Antigen-Presenting Cell Function in Mouse L Cells," <i>Nature</i> <u>338</u> :512-514 (1989)
	64	Anderson et al., "Alternate Splicing of mRNAs Encoding Human Mast Cell Growth Factor and Localization of the Gene to Chromosome 12q22-q24," <i>Cell Growth and Differentiation</i> <u>2</u> :373-378 (1991)
	65	Autiero et al., "Binding CD4 of Synthetic Peptides Patterned on the Principal Neutralizing Domain of the HIV-1 Envelope Protein," <i>Virology</i> <u>185</u> :820-828 (1991)
	66	Ballay et al., "In vitro and in vivo Synthesis of the Hepatitis B Virus Surface Antigen and of the Receptor for Polymerized Human Serum Albumin From Recombinant Human Adenovirus," <i>EMBO Journal</i> <u>4</u> :3861-3865 (1985)

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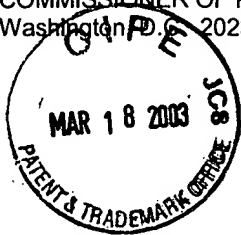
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Exam. Init.	Ref. Desig.	Description
88	67	Barbieri et al., "Purification and Partial Characterization of Another Form of the Antiviral Protein from the Seeds of <i>Phytolacca americana</i> L. (pokeweed)," <i>Biochem. J.</i> <u>203</u> :55-59 (1982)
	68	Barneveld et al., "Monoclonal Antibodies Against Human Beta-Glucocerebrosidase," <i>Eur. J. Biochem.</i> <u>134</u> :585-589 (1989)
	69	Barranger et al., "The Metabolic Basis of Inherited..., Vol. 2, 6 th Ed., Chapter 67, "Glucosylceramide Lipidoses: Gaucher Disease" pp 1677-1698 (1990)
	70	Baumann and Schendel, "Interleukin-11 Regulates the Hepatic Expression of the Same Plasma Protein Genes as Interleukin-6," <i>Journal of Biological Chemistry</i> <u>266</u> :20424-20427 (1991)
	71	Beier et al., "Association of Human Class I MHC Alleles With the Adenovirus E3/19K Protein," <i>J. Immunology</i> <u>152</u> :3862-3872 (1994)
	72	Berglund et al., "Semliki Forest Virus Expression System: Production of Conditionally Infectious Recombinant Particles," <i>Biotechnology (N.Y)</i> <u>11</u> (8):916-920 (1993)
	73	Berglund et al., "Alphaviruses as Vectors for Gene Delivery, <i>Trends Biotechnol.</i> <u>14</u> (4):130-134 (1996)
	74	Beauchemin et al., "Isolation and Characterization of Full-Length Functional cDNA Clones for Human Carcinoembryonic Antigen," <i>Molecular and Cellular Biology</i> <u>7</u> (9):3221-3230 (1987)
	75	Beutler, "Gaucher Disease: New Molecular Approaches to Diagnosis and Treatment," <i>Science</i> <u>256</u> :794-799 (1992)
	76	Boyer and Haenni, "Infectious Transcripts and cDNA Clones of RNA Viruses," <i>Virology</i> <u>198</u> :415-426 (1994)
	77	Bredenbeek et al., "Animal RNA Virus Expression Systems," <i>Seminars in Virology</i> <u>3</u> :297-310 (1992)
✓	78	Bredenbeek et al., "Sindbis Virus Expression Vectors: Packaging of RNA Replicons by Using Defective Helper RNAs," <i>J. Virol.</i> <u>67</u> (11):6439-6446 (1993)

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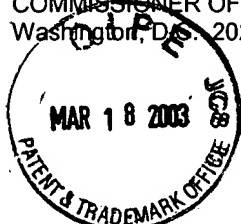
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In the Application of POLO et al.

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Title: METHOD FOR THE PURIFICATION OF REPLICON PARTICLES

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Exam. Init.	Ref. Desig.	Description
34B	79	Browne et al., "A Complex Between the MH C Class I Homologue Encoded by Human Cytomegalovirus and Beta 2 Microglobulin," <i>Nature</i> <u>347</u> :770-772 (1990)
	80	Bzik et al., "Molecular Cloning and Sequence Analysis of the Plasmodium Falciparum Dihydrofolate Reductase-Thymidylate Synthase Gene," <i>Proc. Natl. Acad. Sci. U.S.A.</i> <u>84</u> :8360-8364 (December 1987)
	81	Calderwood et al., "Nucleotide Sequence of the Shiga-Like Toxin Genes of <i>Escherichia coli</i> ," <i>Proc. Natl. Acad. Sci. U.S.A.</i> <u>84</u> :4364-4368 (1987)
	82	Carroll et al., "Active-Site of <i>Pseudomonas Aeruginosa</i> Exotoxin A," <i>J. Biological Chemistry</i> <u>262</u> (18):8707-8711 (1987)
	83	Chen et al., "The Complete Primary Structure of Abrin-a-B Chain," <i>Fed. Eur. Biochemical Societies</i> <u>309</u> :115-118 (1992)
	84	Chin et al., "Tissue-Specific Expression of Hepatic Function," <i>Ann. NY Acad. Sci.</i> <u>478</u> :120-130 (1986)
	85	Choi et al., "Expression of Human Immunodeficiency Virus Type 1 (HIV-1) gag, pol, and env. Proteins from Chimeric HIV-1-Polovirus Minireplicons," <i>J. Virology</i> <u>65</u> (6):2875-2883 (1991)
	86	Collins et al., "Primary Amino Acid Sequence of α -Trichosanthin and Molecular Models for Abrin A-chain and α -trichosanthin," <i>J. Biological Chemistry</i> <u>265</u> (15):8665-8669 (1990)
	87	Correll et al., "High Levels of Human Glucocerebrosidase Activity in Macrophages of Long-Term Reconstituted Mice After Retroviral Infection of Hematopoietic Stem Cells," <i>Blood</i> <u>80</u> (2):331-336 (1992)
	88	Coussens et al., "Tyrosine Kinase receptor with Extensive Homology of EGF Receptor Shares Chromosomal Location with new Oncogene," <i>Science</i> <u>230</u> :1132-1139 (1985)
	89	Culver and Blaese, "Gene Therapy for Cancer," <i>TIG</i> <u>10</u> (5):174-178 (1994)
✓	90	Davis et al., "In Vitro Synthesis of Infectious Venezuelan Equine Encephalitis Virus RNA from a cDNA Clone: Analysis of a Viable Deletion Mutant," <i>Virology</i> <u>171</u> (1):189-204 (1989)

Examiner:

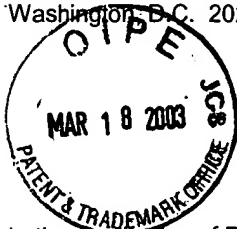
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Exam. Init.	Ref. Desig.	Description
SJB	91	Davis et al., "Protection Against Influenza in Mice By Vaccination With a Venezuelan Equine Encephalitis Virus Vector Expressing the HA Protein, <i>J. Cell. Biochem. Suppl.</i> <u>19A</u> :310, Abstract No. J2-308, (1995)
	92	Driver et al. "Layered Amplification of Gene Expression with a DNA Gene Delivery System," <i>Annals of New York Academy of Sciences</i> <u>772</u> (1):261-264 (1995)
	93	Dryga et al., "Identification of Mutations in a Sindbis Virus Variant Able to Establish Persistent Infection in BHK Cells: The Importance of a Mutation in the nsP2 Gene," <i>Virology</i> <u>228</u> 74-83 (1997)
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	98	Evans et al., "An Engineered Poliovirus Chimera Elicits Broadly Reactive HIV-1 Neutralizing Antibodies," <i>Nature</i> <u>339</u> :385-388 (1989)
	99	Evensen et al., "Direct Molecular Cloning and Expression of Two Distinct Abrin A-Chain," <i>J. Biological Chemistry</i> <u>266</u> (1):6848-6852 (1991)
	100	Fainstein et al., "Nucleotide Sequence Analysis of Human <i>abl</i> and <i>bcr-abl</i> cDNAs," <i>Oncogene</i> <u>4</u> :1477-1481 (1989)
	101	Field et al., "Isolation and Characterization of Acyclovir-Resistant Mutants of Herpes Simplex Virus," <i>J. Gen. Virol.</i> <u>49</u> :115-124 (1980)
✓	102	Fisher-Hoch et al., "Protection of rhesus Monkeys from Fatal Lassa Fever by Vaccination with a Recombinant Vaccinia Virus Containing the Lassa Virus Glycoprotein Gene," <i>Proc. Natl. Acad. Sci. U.S.A.</i> <u>86</u> :317-321 (1989)

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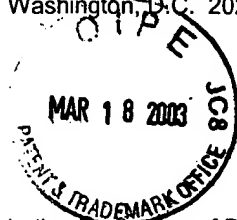
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<i>Sub</i>	103	Flexner et al., "Attenuation and Immunogenicity in Primates of Vaccinia Virus Recombinants Expressing Human Interleukin-2," <i>Vaccine</i> 8:17-21 (1990)
	104	Fox, "No Winners Against AIDS," <i>Bio/Technology</i> 12:pp128 (1994)
	105	Frolov and Schlesinger, "Comparison of the Effects Sindbis Virus and Sindbis Virus Replicons on Host Cell Protein Synthesis and Cytopathogenicity in BHK Cells," <i>J. Virol.</i> 68(3):1721-1727 (1994)
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	107	Frolov et al., "Alphavirus-Based Expression Vectors: Strategies and Applications," <i>Proc. Natl. Acad. Sci. U.S.A.</i> 93:11371-11377 (October 1996)
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	109	Geigenmüller-Gnirke et al., "Complementation Between Sindbis Viral RNAs Produces Infectious Particles with a Bipartit Genome," <i>Proc. Natl. Acad. Sci. U.S.A.</i> 88:3253-3257 (1991)
	110	Grakoui et al., "A <i>cis</i> -Acting Mutation in the Sindbis Virus Junction Region Which Affects Subgenomic RNA Synthesis," <i>Journal of Virology</i> 63(12):5216-5227 (1989)
	111	Hahn et al., "Infectious Sindbis Virus Transient expression Vectors for Studying Antigen Processing and Presentation," <i>Proc. Natl. Acad. Sci. U.S.A.</i> 89:2679-2683 (April 1992)
	112	Hampel et al., "Hairpin Catalytic RNA Model: Evidence for Helices and Sequences Requirement for Substrate RNA," <i>Nucleic Acids Research</i> 18(2):299-304 (1990)
	113	Hariharan et al., "DNA Immunization against Herpes Simplex Virus: Enhanced Efficacy Using a Sindbis Virus-Based Vector," <i>Journal of Virology</i> 72:950-958 (1998)
	114	Haseloff et al., "Simple RNA Enzymes with New and Highly Specific Endoribonuclease Activities," <i>Nature</i> 334:585-591 (August 1988)
<i>↓</i>	115	Hertz and Huang, "Utilization of Heterologous Alphavirus Junction Sequences as Promoters by Sindbis Virus," <i>Journal of Virology</i> 66(2):857-864 (1992)

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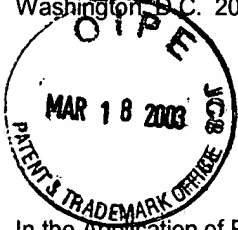
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SVB	116	Herweijer et al., "A Plasmid-Based Self-Amplifying Sindbis-Based Self-Amplifying Sindbis Virus Vector," <i>Human Gene Therapy</i> 6:1161-1167 (1995)
	117	Hevey et al., "Marburg Virus Vaccines Based Upon Alphavirus Replicons Protected Guinea Pigs and Nonhuman Primates," <i>Virology</i> 251(1):28-37 (1998)
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	119	Huang et al., "RNA Viruses as Gene Expression Vectors," <i>Virus Genes</i> 3(1):85-91 (1989)
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	121	Irvin et al., "Purification and Properties of a Second Antiviral Protein from <i>Phytolacca Americana</i> which Inactivates Eukaryotic Ribosomes," <i>Archives of Biochemistry and Biophysics</i> 200(2):418-425 (1980)
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	124	Jang et al., "Initiation of Protein Synthesis by Internal Entry of Ribosomes into the Montranslated Region of Encephalomyocarditis Virus RNA <i>in vivo</i> ," <i>J. Virology</i> 63(4):1651-1660 (April 1989)
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	126	Jolly, "Viral Vector Systems for Gene Therapy," <i>Cancer Gene Therapy</i> 1(1):51-64 (1994)
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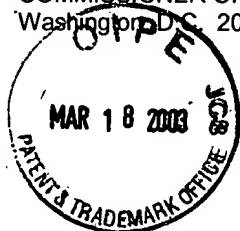
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SJB	128	Kuhn et al., "Infectious RNA Transcripts form Ross River Virus cDNA Clones and the Construction and Characterization of Defined Chimeras with Sindbis Virus," <i>Virology</i> <u>182</u> :430-441 (1991)
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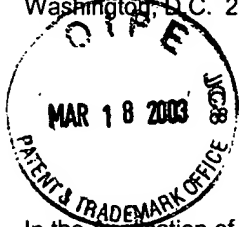
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<u>SM</u>	140	Lijestrom et al., "A New Generation of Animal Cell Expression Vectors Based on the Semliki Forest Virus Replicon," <i>Biotechnology</i> (NY) <u>9</u> (12):1356-1361 (1991)
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	147	McKnight, "The Nucleotide Sequence and Transcript map of the Herpes Simplex Virus Thymidine Kinase Gene," <i>Nucleic Acids Research</i> <u>8</u> (24):5949-5964 (1980)
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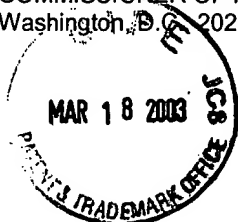
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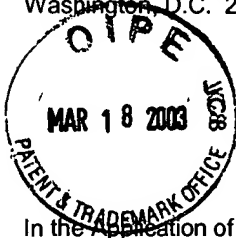
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	173	Schlesinger, S., "Alphaviruses-Vectors for the Expression of Heterologous Genes," <i>Trends Biotechnol.</i> <u>11</u> (1):18-22 (1993)
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SAB	175	Schowalter et al., "Heterologous Expression of Adenovirus E3-gp19K in an Ela-deleted Adenovirus Vector Inhibits MHC I Expression <i>in vitro</i> but does not Prolong Transgene Expression <i>in vivo</i> ," <i>Gene Therapy</i> 4:351-360 (1997)
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	184	Stirpe et al., "Gelonin, a New Inhibitor of Protein Synthesis, Nontoxic to Intact Cells," <i>J. Biological Chemistry</i> 255(14):6947-6953 (1980)
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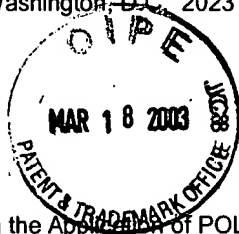
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SAB	186	Taylor et al., "Sindbis Virus: A Newly Recognized Arthropod-Transmitted Virus," <i>Ann. J. Trop. Med. Hyg.</i> 4:844-862 (1955)
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SUB	197	Weiss and Schlesinger, "Defective Interfering Particles of Sindbis Virus Do Not Interfere with the Homologous Virus Obtained From Persistently Infected BHK Cells but Do Interfere with Semliki Forest Virus," <i>Journal of Virology</i> <u>37</u> (2):840-844 (1981)
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